



**1995 IEEE MTT-S INTERNATIONAL  
MICROWAVE SYMPOSIUM**

*Microwaves on the Move!*

**WORKSHOP WFHI**

**Microwave Applications of  
Ferroelectric Ceramics**

Friday, May 19, 1995  
Orlando, Florida



# **Development of Thin Film Ferroelectrics for Microwave Applications at The Naval Research Laboratory**

Presented at:

Workshop on Microwave Applications of Ferroelectric Ceramics

19 May 1995

by:

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## **Collaborative Development Effort Involving:**

### **Microwave Technology Branch**

Code 6850

Ferroelectric microwave device  
design and fabrication

Jeffrey Pond

Nick Papanicolaou

Christen Rauscher

### **Surface Modification Branch**

Code 6670

Ferroelectric thin film deposition  
and characterization

James Horwitz

Douglas Chrisey

Lee Knauss

## **Sponsoring Organizations:**

Superconducting Core Technologies

Office of Naval Research

SPAWAR



# Ferroelectrics Exhibit Spontaneous (Permanent) Polarization

Gauss's Law

$$\nabla \cdot \mathbf{D} = 4 \pi \rho$$

$$\mathbf{D} = \epsilon_0 \mathbf{E} + \mathbf{P}$$

$$\mathbf{P} = \chi \epsilon_0 \mathbf{E}$$

$$\chi = \text{Constant}$$

$$\epsilon = \epsilon_0 (1 + \chi)$$

Dielectrics

Polarization

Susceptibility

Linear

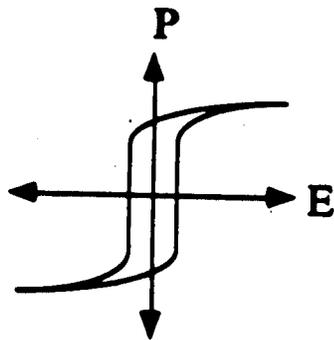
Dielectric Constant

Ferroelectrics

$$\chi = \chi(\mathbf{E})$$

$$\chi \neq \text{Constant}$$

Nonlinear





## Why Ferroelectrics for Microwave Applications?

- Voltage controlled capacitance
  - varactor (variable reactance)
    - tunable oscillator
    - frequency agile filter
  - variable phase velocity transmission line
    - phase shifter
    - tunable delay line
- Very low drive currents - minimum conduction in a dielectric
  - small, light weight power supplies
  - low prime power requirements
- Intrinsically fast mechanism
  - fast switching speeds



## **Objective:**

**Demonstrate the advantages of replacing existing devices with devices based on ferroelectric thin films**

## **Approach:**

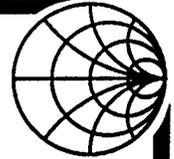
- Deposit high quality low loss ferroelectric films which exhibit electric field controlled dielectric constants
- Characterize the dielectric constant and loss tangent as a function of
  - material composition and phase
  - electric field dependence
  - temperature dependence
- Design and fabricate devices with performance superior to existing technologies



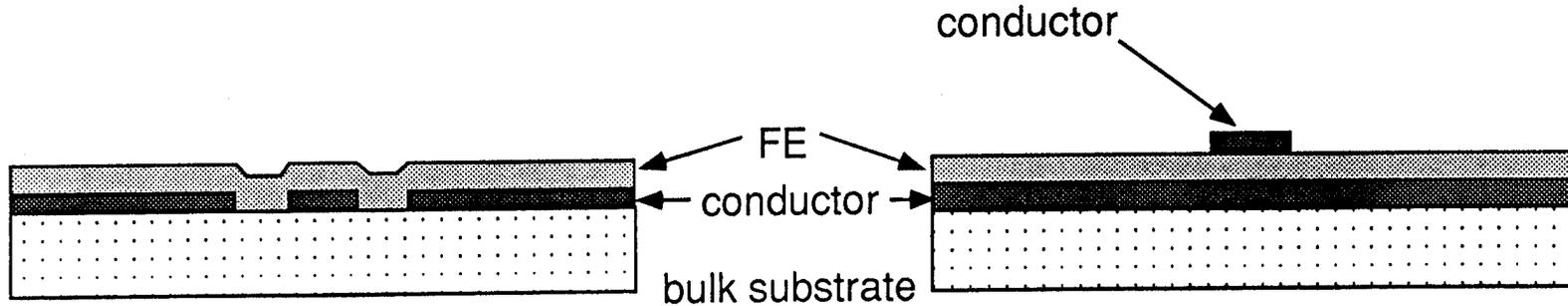
## Ferroelectric Materials

- Examples
  - $\text{Sr}_x\text{Ba}_{1-x}\text{TiO}_3^*$
  - $\text{K}_x\text{Ta}_{1-x}\text{NbO}_3$
  - $\text{LiNbO}_3$
  - $(\text{Pb}_{1-x}\text{La}_x)(\text{Zr}_{1-y}\text{Ti}_y)\text{O}_3^*$
  - $\text{Sr}_x\text{Ba}_{1-x}\text{Sr}_2\text{O}_6$
- Complex, multicomponent metal oxides
- Many thin film applications have been difficult to implement because of the unavailability of high quality thin films
- Recently developed thin film processing technologies makes these applications possible

\* Materials having common crystallographic structures with HTS



## Possible Approaches to Thin-Film Ferroelectric Circuits



### Coplanar Waveguide

Practical devices using either normal metal or HTS

Less tunability range since field is not confined to FE

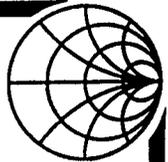
More difficult to determine FE properties from measurements

### Microstrip Trilayer

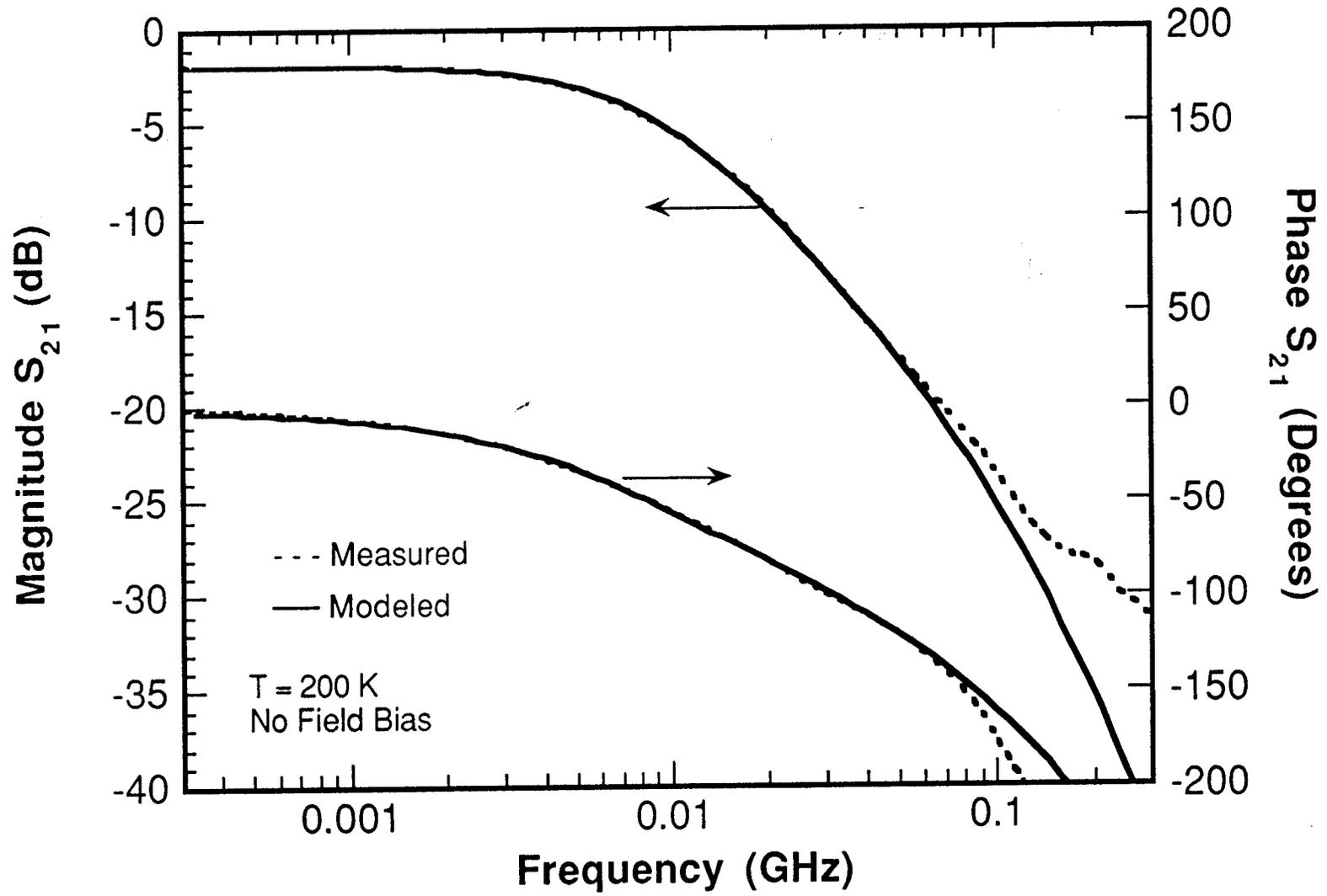
Practical devices requires HTS for low loss

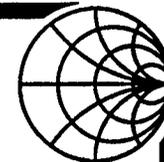
Large tunability range since field is well confined to FE

Much easier to analyze and determine FE and FE/HTS

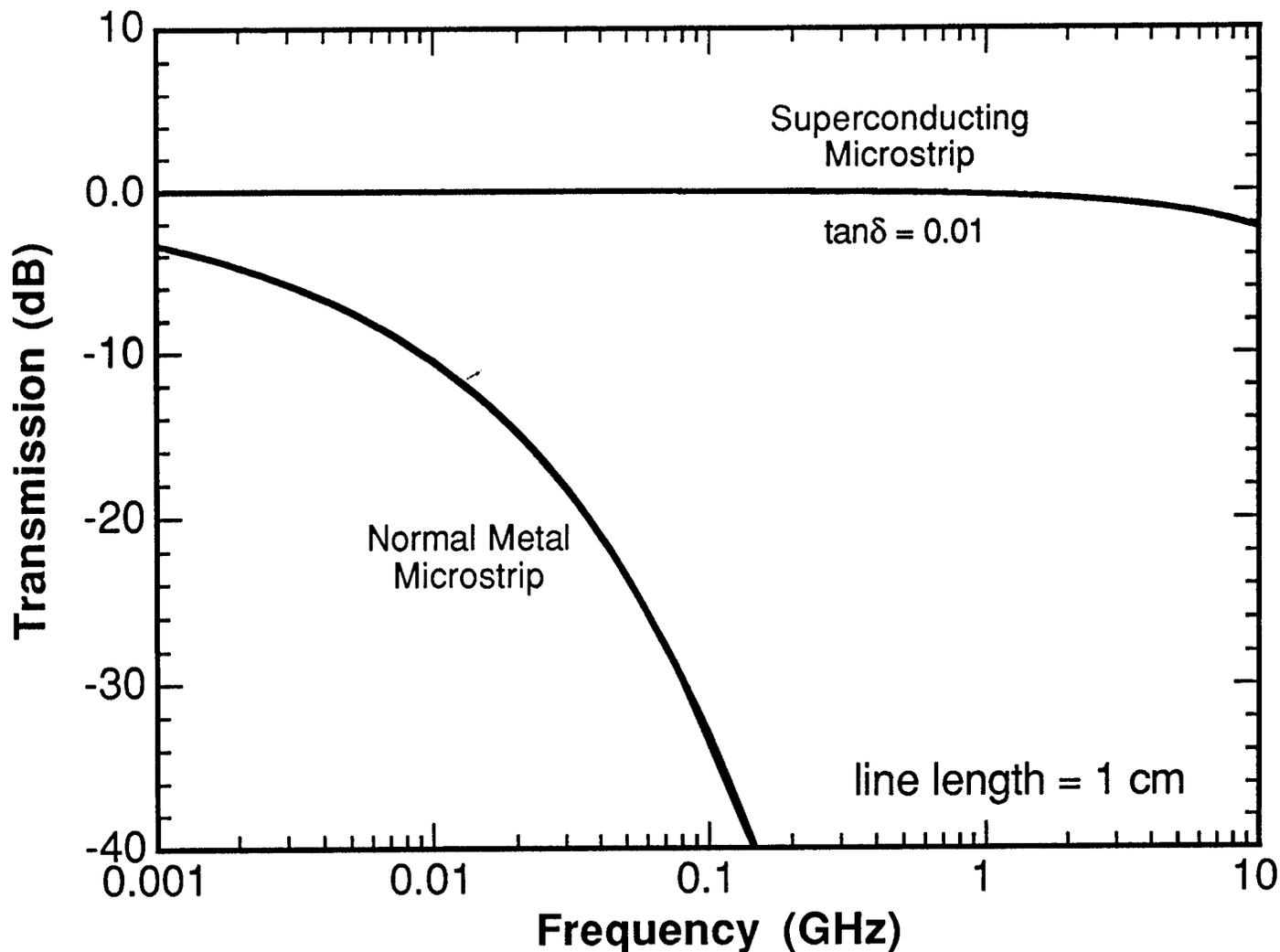


### Measured and Modeled Response of a Ag/SBT/Pt Microstrip Transmission Line





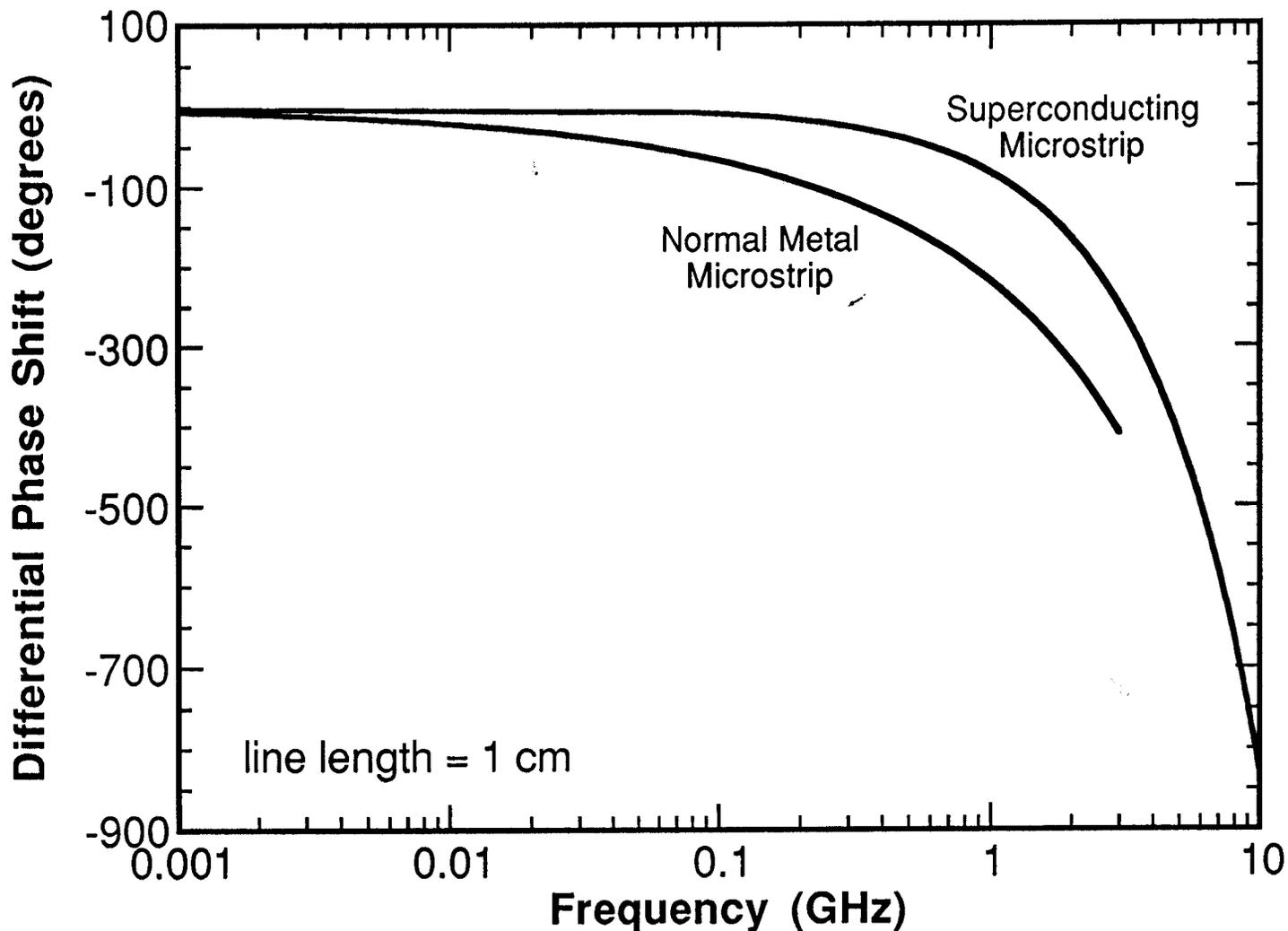
## Calculated Transmission in a Thin Film Ferroelectric Microstrip with Normal Conductor (metal loss limited) and Superconductor (ferroelectric loss limited)





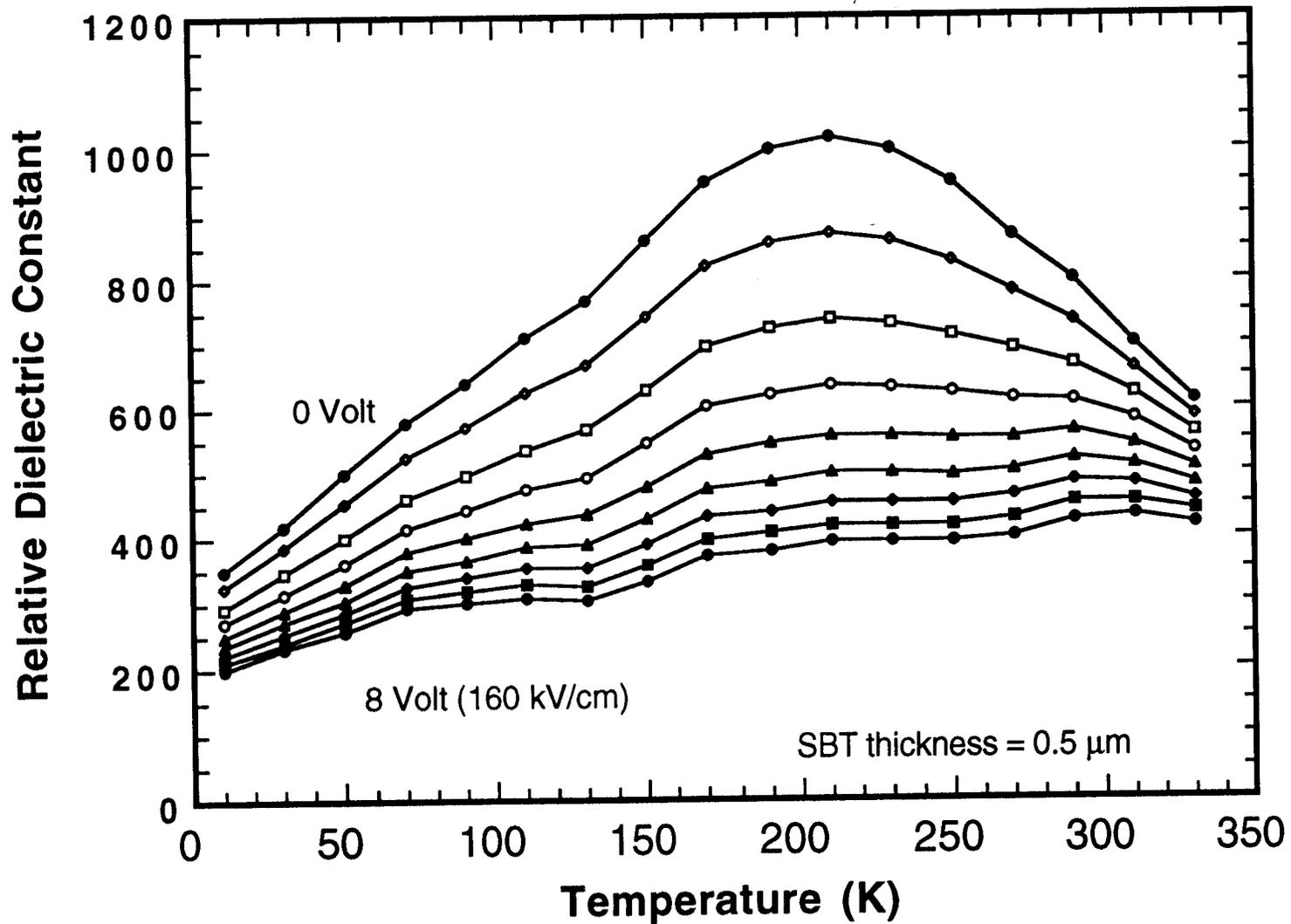
## Differential Phase Shift for a 1-cm Thin-Film Ferroelectric Microstrip with Normal Metal Conductors and Superconductors

$$\Delta\Phi = \Phi(0 \text{ Volts}) - \Phi(8 \text{ Volts})$$



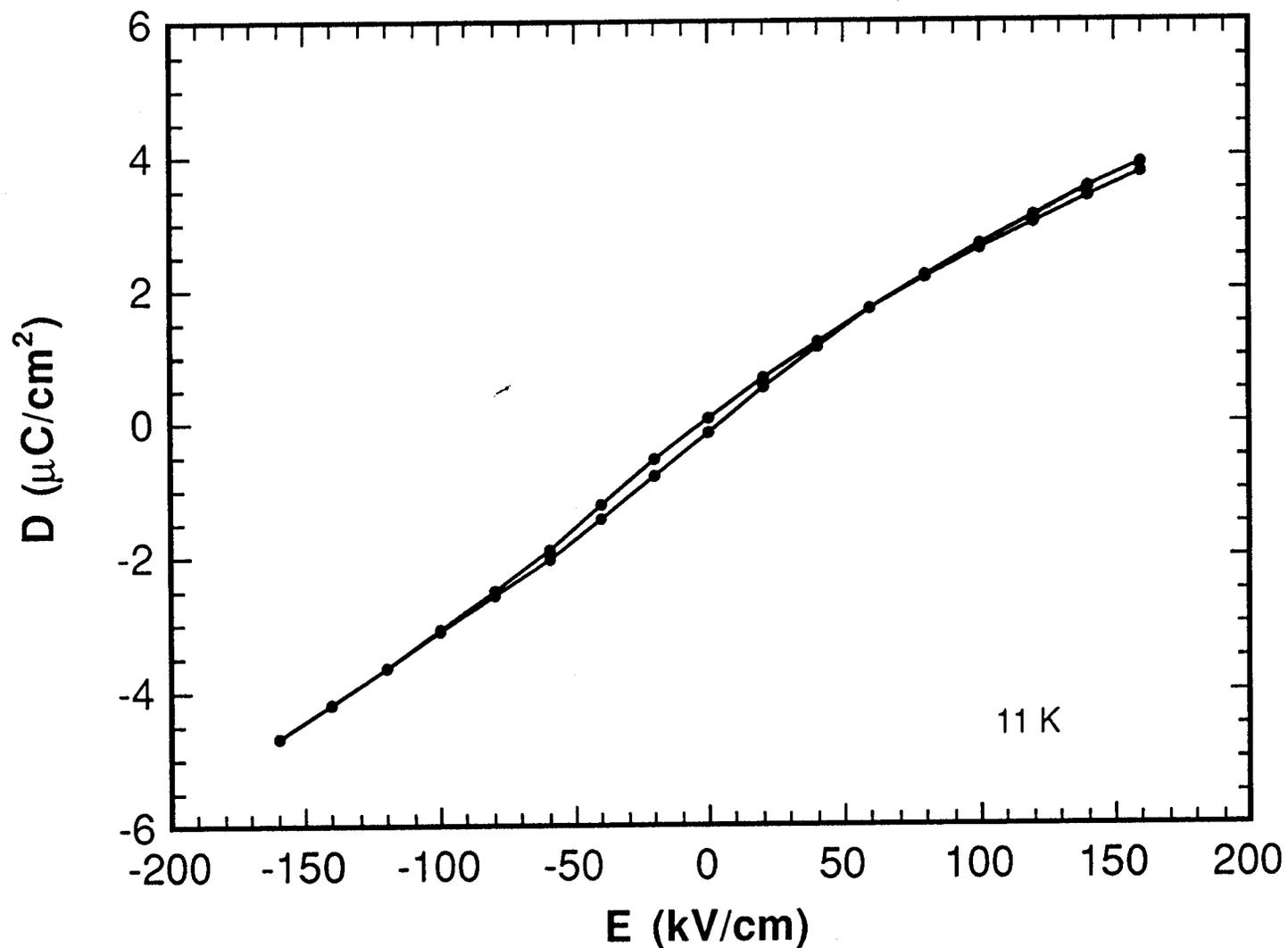


## Relative Dielectric Constant of SBT Thin Film Using a YBCO Ground Plane / SBT Dielectric / Ag Strip Microstrip Trilayer



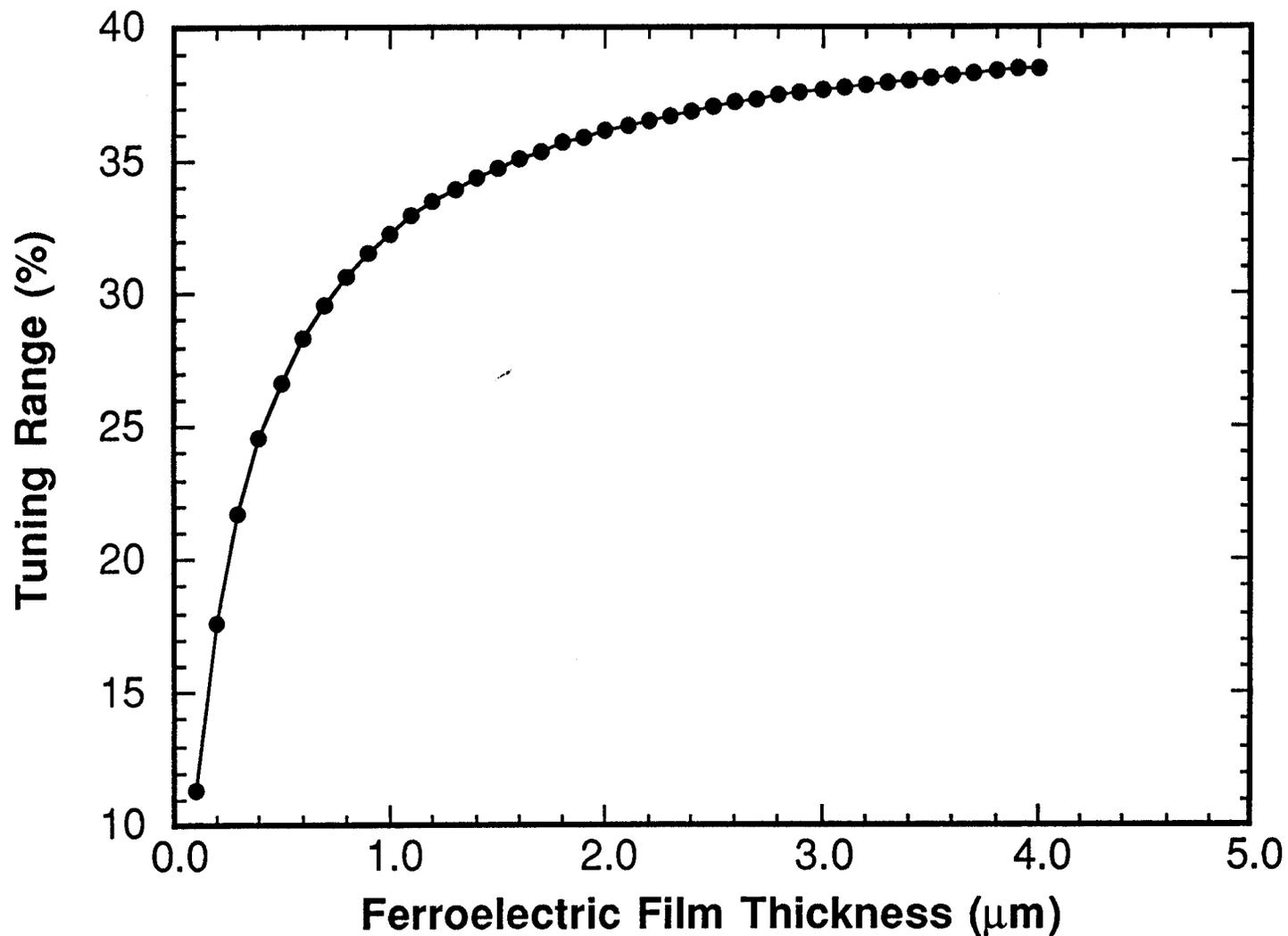


## Hysteresis Curve for a Microstrip Trilayer with YBCO Ground Plane / SBT dielectric / Ag strip



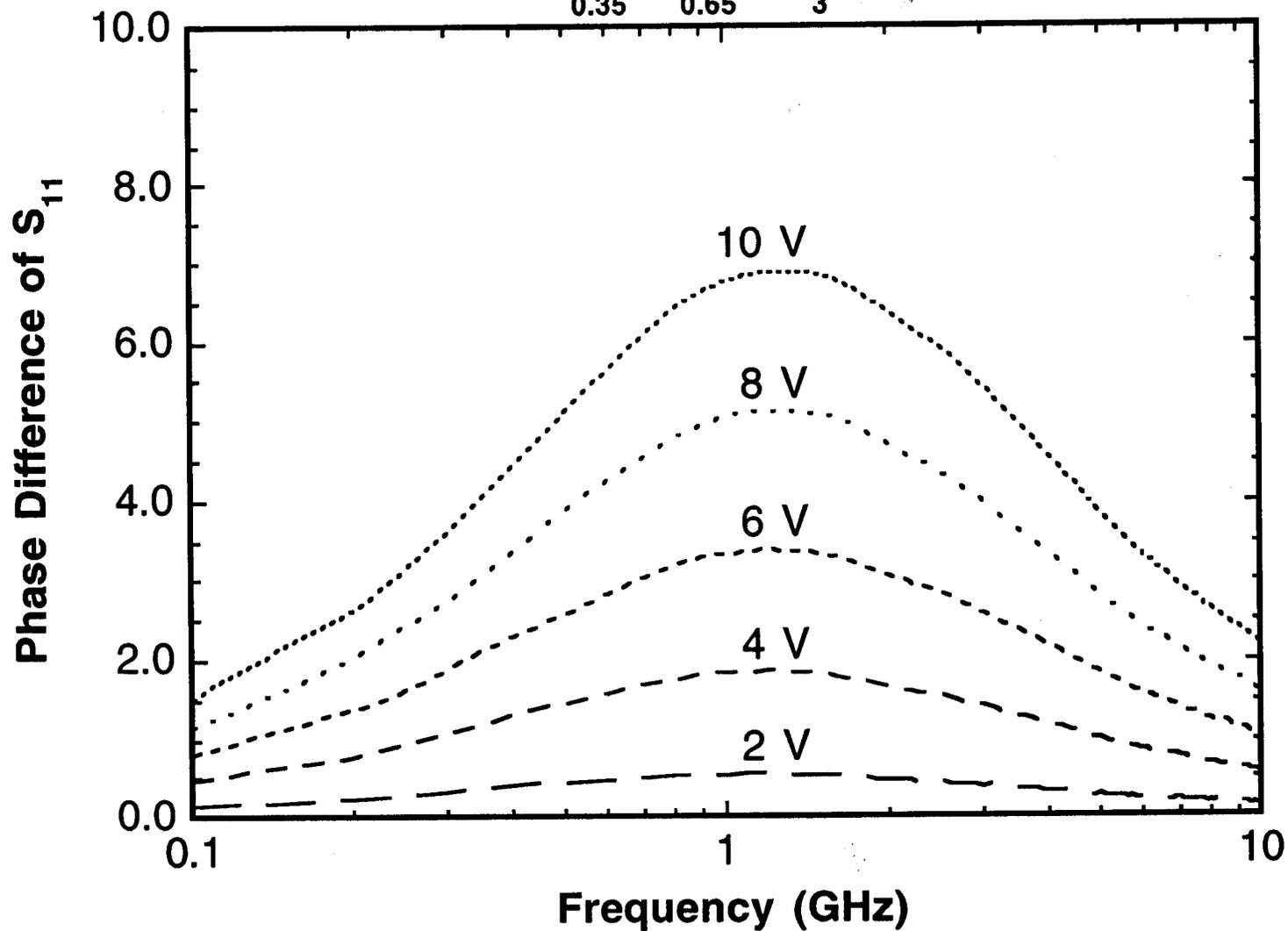


## Coplanar Waveguide with Ferroelectric Thin-Film Underlayer Tuning Range Assuming a Relative Dielectric Constant Range from 400 to 800





Measured Phase Difference at 300 K  
for a Gold Interdigital Capacitor  
on a  $\text{Sr}_{0.35}\text{Ba}_{0.65}\text{TiO}_3$  Thin Film





## Ferroelectric Varactor Technology

- **Demonstrated interdigital tunable capacitor in the 2.5 pF range at room temperature with non-optimized gold electrodes**
- **Q should be limited by loss tangent of the ferroelectric layer with preliminary results indicating  $1000 > Q > 100$**
- **Initial modeling indicates that losses are consistent with conduction in the gold electrodes**
- **Several processing modification planned for second set of devices**
- **Excellent agreement with circuit model consisting of variable capacitor shunted by resistor (dielectric losses) and series resistor (metal losses)**